

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Hiroyuki Tomoike

Serial No.: 09/921,978

Group Art Unit: 2876

Filing Date: August 6, 2001

Examiner: Unknown

For: ELECTRONIC PAYMENT SYSTEM USING ACCOUNTING FUNCTION  
IN A MOBILE COMMUNICATION NETWORK

Honorable Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Under the provisions of 37 CFR §1.97 through §1.99 and pursuant to applicant's duty of disclosure under 37 CFR §1.56, applicant respectfully brings the following documents cited in the Japanese Office Action and listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. Copies of the listed documents are provided herewith for the convenience of the Examiner. Further, English-language Abstracts are attached to some of the references.

In compliance with the requirements of 37 CFR §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 U.S.C. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the reference was cited. The relevance to the pending U.S. patent application is that the reference was cited in a foreign patent application on the same subject matter. However, no independent analysis of the reference, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made, the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

09/921,978

Docket No.: NEC01P145-RIa

I hereby certify that each item of information contained in this Information Disclosure Statement was the first citation of that item by a foreign patent office in a counterpart foreign application, which occurred not more than three months prior to the filing of this statement.

It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Sean M. McGinn", written over a horizontal line.

Sean M. McGinn

Registration No. 34,386

Date:

11/15/04

McGinn & Gibb, PLLC

Intellectual Property Law

8321 Old Courthouse Road, Suite 200

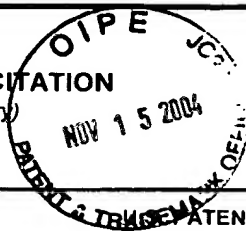
Vienna, VA 22182-3817

(703) 761-4100

Customer No. 21254

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



ATTY DOCKET NO.

NEC01PI45-RIa

APPLICATION NO.

09/921.978

APPLICANT(S)

Hiroyuki Tomoike

FILING DATE

August 6, 2001

GROUP ART UNIT

2876

## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|-----------------|------|------|-------|----------|-------------------------------|
|                      |                 |      |      |       |          |                               |
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## U.S. PATENT APPLICATION PUBLICATIONS

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## FOREIGN PATENT DOCUMENTS

|  | DOCUMENT NUMBER | DATE       | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--|-----------------|------------|---------|-------|----------|-------------|----|
|  |                 |            |         |       |          | YES         | NO |
|  | 2000-132605     | 05/12/2000 | Japan   |       |          | ABS         |    |
|  | 10-162144       | 06/19/1998 | Japan   |       |          | ABS         |    |
|  | 2001-351033     | 12/21/2001 | Japan   |       |          | ABS         |    |
|  | 2002-32572      | 01/31/2002 | Japan   |       |          | ABS         |    |
|  | 2002-42031      | 02/08/2002 | Japan   |       |          | ABS         |    |

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

|  |  |   |
|--|--|---|
|  |  | Japanese Office Action dated August 25, 2004 with Partial English Translation.  |
|  |  | A charge system for audio line use which provides support for strengthening an independent system site, Mobile Magazine, Japan, Shi-me Media Co., Ltd., January 13, 2000, Vol. 8, No. 2, pp. 18-19. |

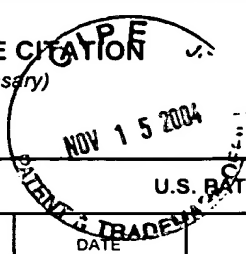
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DATE CONSIDERED

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# INFORMATION DISCLOSURE CITATION

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ATTY DOCKET NO.

NEC01P145-R1a

APPLICATION NO.

09/921,978

APPLICANT(S)

Hiroyuki Tomoike

FILING DATE

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|--|--|-----------------|------------|---------|-------|----------|-------------|----|
|  |  |                 |            |         |       |          | YES         | NO |
|  |  | 2001-6028       | 01/12/2001 | Japan   |       |          | YES         |    |
|  |  |                 |            |         |       |          |             |    |
|  |  |                 |            |         |       |          |             |    |
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|  |  |                 |            |         |       |          |             |    |

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|  |  | Akiyama, C., et al., "Providing a warning in high risk transactions, and product strengthening", Nikkei information strategies, Japan, Nikkei BP, September 1998. |
|  |  | Yokoyama, K., "Mobile Information Communication", Nikkei Communication, Japan, Nikkei BP Co., Ltd., June 5, 1995, No. 199, pp. 134-135.                           |

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